

Our Reference: 042390.P7957

Patent

SYSTEM AND METHOD FOR SAFEGUARDING DATA BETWEEN A  
DEVICE DRIVER AND A DEVICE

Inventors: Keith Shippy; Jody Pfothenhauer; and Richard Mangold

Respectfully submitted,

BLAKELY SOKOLOFF TAYLOR & ZAFMAN LLP



Kenneth B. Paley  
Reg. No. 38,989



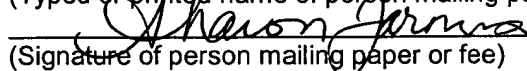
"Express Mail" mailing label number: EL431686620US

Date of Deposit: September 29, 2000

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Serial/Patent No.: new application Filing/Issue Date: herewith  
Client: Intel Corporation  
Title: SYSTEM AND METHOD FOR SAFEGUARDING DATA BETWEEN A  
DEVICE DRIVER AND A DEVICE  
BSTZ File No.: 042390.P7957 Atty/Secty Initials: KBP:sef  
Date Mailed: September 29, 2000 Docket Due Date: \_\_\_\_\_

The following has been received in the U.S. Patent & Trademark Office on the date stamped hereon:

- |   |  |   |
|---|--|---|
| <input type="checkbox"/> Amendment/Response (____pgs.)  | <input checked="" type="checkbox"/> Express Mail <u>EL431686620</u>                  | <input checked="" type="checkbox"/> Check No. <u>000810</u> |
| <input type="checkbox"/> Appeal Brief (____pgs.) (in triplicate)  | <input type="checkbox"/> ____Month(s) Extension of Time                              | Amt. <u>\$1104.00</u>                                       |
| <input checked="" type="checkbox"/> Application - Utility ( <u>47</u> pgs., with cover and abstract)                    | <input type="checkbox"/> Information Disclosure                                      |   |
| <input type="checkbox"/> Application - Rule 1.53(b) Continuation (____pgs.)   | Statement & PTO-1449 (____pgs.)  | <input type="checkbox"/> Check No. _____                    |
| <input type="checkbox"/> Application - Rule 1.53(b) Divisional (____pgs.)   | <input type="checkbox"/> Issue Fee Transmittal                                       | Amt: _____  |
| <input type="checkbox"/> Application - Rule 1.53(b) CIP (____pgs.)  | <input type="checkbox"/> Notice of Appeal  |   |
| <input type="checkbox"/> Application - Rule 1.53(d) CPA Transmittal (____pgs.)  | <input type="checkbox"/> Petition for Extension of Time                              |   |
| <input type="checkbox"/> Application - Design (____pgs.)  | <input type="checkbox"/> Petition for _____  |   |
| <input type="checkbox"/> Application - PCT (____pgs.)   | <input checked="" type="checkbox"/> Postcard   |   |
| <input type="checkbox"/> Application - Provisional (____pgs.)   | <input type="checkbox"/> Power of Attorney (____pgs.)                                |   |
| <input type="checkbox"/> Assignment and Cover Sheet   | <input type="checkbox"/> Preliminary Amendment (____pgs.)                            |   |
| <input checked="" type="checkbox"/> Certificate of Mailing  | <input type="checkbox"/> Reply Brief (____pgs.)                                      |   |
| <input checked="" type="checkbox"/> Declaration & POA ( <u>5</u> pgs.) (unsigned)                                       | <input type="checkbox"/> Response to Notice of Missing Parts                         |   |
| <input type="checkbox"/> Disclosure Docs & Orig &   | <input type="checkbox"/> Small Entity Declaration for Indep. Inventor/Small Business |   |
| Copy of Inventor's Signed Letter (____pgs.)   | <input checked="" type="checkbox"/> Transmittal Letter, in duplicate                 |   |
| <input checked="" type="checkbox"/> Drawings: <u>19</u> # of sheets includes <u>19</u> figures                          | <input checked="" type="checkbox"/> Fee Transmittal, in duplicate                    |   |
| <input checked="" type="checkbox"/> Other <u>certificate of mailing with copy of return postcard signed by attorney</u> |  |   |

Please type a plus sign (+) inside this box **[+]**

P T O / S B / 0 5 ( 1 2 / 9 7 )

Approved for use through 09/30/00. OMB 0651-0032

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### UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 042390.P7957

Total Pages 2

First Named Inventor or Application Identifier Keith Shippy

Express Mail Label No. EL431686620US

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, D. C. 20231

#### APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. X Fee Transmittal Form  
(Submit an original, and a duplicate for fee processing)
2. X Specification (Total Pages 47)  
(preferred arrangement set forth below)
  - Descriptive Title of the Invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to Microfiche Appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claims
  - Abstract of the Disclosure
3. X Drawings(s) (35 USC 113) (Total Sheets 19)
4. X Oath or Declaration (Total Pages 5)
  - a. X Newly Executed (Original or Copy)
  - b.      Copy from a Prior Application (37 CFR 1.63(d))  
(for Continuation/Divisional with Box 17 completed) (**Note Box 5 below**)
  - i.      DELETIONS OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
5.      Incorporation By Reference (useable if Box 4b is checked)  
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
6.      Microfiche Computer Program (Appendix)
7.      Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
  - a.      Computer Readable Copy

1c936 U.S. PTO  
09/675976



b. \_\_\_\_\_

c. \_\_\_\_\_

## ACCOMPANYING APPLICATION PARTS

- |     |                   |  |
|-----|-------------------|--|
| 8.  | <u>          </u> | Assignment Papers (cover sheet & documents(s))   |
| 9.  | <u>          </u> | a. 37 CFR 3.73(b) Statement (where there is an assignee)   |
|     | <u>  X  </u>      | b. Power of Attorney   |
| 10. | <u>          </u> | English Translation Document (if applicable)   |
| 11. | <u>          </u> | a. Information Disclosure Statement (IDS)/PTO-1449   |
|     | <u>          </u> | b. Copies of IDS Citations   |
| 12. | <u>          </u> | Preliminary Amendment  |
| 13. | <u>  X  </u>      | Return Receipt Postcard (MPEP 503) (Should be specifically itemized)   |
| 14. | <u>          </u> | a. Small Entity Statement(s)   |
|     |                   | b. Statement filed in prior application, Status still proper and desired   |
| 15. | <u>          </u> | Certified Copy of Priority Document(s) (if foreign priority is claimed)  |
| 16. | <u>  X  </u>      | Other: <u>separate sheet with title, express mail label, copy of postcard and attorney's</u><br><u>signature</u> |

17. If a **CONTINUING APPLICATION**, check appropriate box and supply the requisite information:  
☐ Continuation      ☐ Divisional      ☐ Continuation-in-part (CIP)  
of prior application No: \_\_\_\_\_

**18. Correspondence Address**

_____	Customer Number or Bar Code Label	(Insert Customer No. or Attach Bar Code Label here)
	or	
X	Correspondence Address Below	

NAME Kenneth B. Paley  
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Express Mail Label: EL431686620US

**FEE TRANSMITTAL FOR FY 2000**TOTAL AMOUNT OF PAYMENT (\$) \$1104.00

## Complete if Known:

Application No. not yet assignedFiling Date herewith

First Named Inventor \_\_\_\_\_

Group Art Unit \_\_\_\_\_

Examiner Name \_\_\_\_\_

Attorney Docket No. 042390.P7957J0836 U.S. PTO  
09/675976

09/29/00

**METHOD OF PAYMENT (check one)**

- 1.
- ☒
- The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number 02-2666

Deposit Account Name \_\_\_\_\_

- ☒
- Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

- 2.
- ☒
- Payment Enclosed:

☒ Check☐ Money Order☐ Other**FEE CALCULATION**1. **BASIC FILING FEE**

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
101	690	201	345	Utility application filing fee	690.00
106	310	206	155	Design application filing fee	
107	480	207	240	Plant filing fee	
108	690	208	345	Reissue filing fee	
114	150	214	75	Provisional application filing fee	
SUBTOTAL (1)					\$ 690.00

2. **EXTRA CLAIM FEES**

			Extra Claims	Fee from below	Fee Paid
Total Claims	<u>30</u>	- 20** =	<u>10</u>	X <u>18</u>	= <u>180.00</u>
Independent Claims	<u>6</u>	- 3** =	<u>3</u>	X <u>78</u>	= <u>234.00</u>
Multiple Dependent					=

\*\*Or number previously paid, if greater; For Reissues, see below.

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
103	18	203	9	Claims in excess of 20	
102	78	202	39	Independent claims in excess of 3	
104	260	204	130	Multiple dependent claim, if not paid	
109	78	209	39	**Reissue independent claims over original patent	
110	18	210	9	**Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)					\$ 414.00

**FEE CALCULATION (continued)**

12/29/99

- 1 -

PTO/SB/17 (6/99)

Patent fees are subject to annual revisions. Small Entity payments must be supported by a small entity statement, otherwise large entity fees must be paid.

See Forms PTO/SB/09-12

### 3. ADDITIONAL FEES

<u>Large Entity</u>		<u>Small Entity</u>		<u>Fee Description</u>	<u>Fee Paid</u>
Code	Fee (\$)	Code	Fee (\$)		
105	130	205	65	Surcharge - late filing fee or oath	_____
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	_____
139	130	139	130	Non-English specification	_____
147	2,520	147	2,520	For filing a request for reexamination	_____
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	_____
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	_____
115	110	215	55	Extension for response within first month	_____
116	380	216	190	Extension for response within second month	_____
117	870	217	435	Extension for response within third month	_____
118	1,360	218	680	Extension for response within fourth month	_____
128	1,850	228	925	Extension for response within fifth month	_____
119	300	219	150	Notice of Appeal	_____
120	300	220	150	Filing a brief in support of an appeal	_____
121	260	221	130	Request for oral hearing	_____
138	1,510	138	1,510	Petition to institute a public use proceeding	_____
140	110	240	55	Petition to revive unavoidably abandoned application	_____
141	1,210	241	605	Petition to revive unintentionally abandoned application	_____
142	1,210	242	605	Utility issue fee (or reissue)	_____
143	430	243	215	Design issue fee	_____
144	580	244	290	Plant issue fee	_____
122	130	122	130	Petitions to the Commissioner	_____
123	50	123	50	Petitions related to provisional applications	_____
126	240	126	240	Submission of Information Disclosure Stmt	_____
581	40	581	40	Recording each patent assignment per property (times number of properties)	_____
146	760	246	380	For filing a submission after final rejection (see 37 CFR 1.129(a))	_____
149	760	249	380	For each additional invention to be examined (see 37 CFR 1.129(a))	_____
Other fee (specify) _____					_____
Other fee (specify) _____					_____

SUBTOTAL (3) \$ 0.00

\*Reduced by Basic Filing Fee Paid

#### SUBMITTED BY:

Typed or Printed Name: Kenneth B. Paley

Signature *Kenneth B. Paley* Date September 29, 2000

Reg. Number 38,989 Deposit Account User ID \_\_\_\_\_  
 (complete if applicable)